

California Horse Racing Board

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**REPORT OF THE BOARD OF STEWARDS
SANTA ANITA PARK AUTUMN MEET
LOS ANGELES TURF CLUB, INC.**

TO THE CALIFORNIA HORSE RACING BOARD

Honorable Gavin Newsom, Governor
Honorable Madeline Auerbach, Vice Chairman
Honorable Dennis V. Alfieri, Member
Honorable Gregory L. Ferraro DVM, Member
Honorable Oscar Gonzales, Member
Honorable Fred Maas, Member
Honorable Wendy Mitchell, Member
Honorable Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, Chief Counsel
Darrel McHargue, Chief Steward

Report for the Week of Friday, September 27, 2019 through Sunday, September 29, 2019.

TUESDAY

9/24/19¹

PRE MEET SAFETY MEETING

Present for the meeting organized by Executive Assistant Ami Atkinson, Acting Santa Anita Executive Director of California Racing Aidan Butler, VP of Racing/Racing Secretary Steve Lym, the BOS, Grant Baker, Luis Jauregui, Kim Sawyer, Safety Steward Ron Church, CTT Executive Director Alan Balch, VP/Operations Jason Spetnagel, Arcadia Fire Chief Cody Cerwin, Symons Ambulance Service Paramedic Phillip Kim, Head Outrider John Cortez, Santa Anita Surveillance Technician Cory Martinez, Stall Superintendent Robert Troeger, CTT President Eoin Harty and Director of Community Services & Special Products Pete Siberell. Regular safety procedures were discussed along with all other issues introduced.

9/24/19²

DUTY STEWARD

Grant Baker was on duty to oversee entries for Friday, nine races were carded.

WEDNESDAY

9/25/19¹

STEWARD ON DUTY

Grant Baker was on duty to oversee entries for Saturday (eleven races) and approved one scratch and one owner change for Friday's program.

THURSDAY

9/26/19¹

STEWARD ON DUTY

Grant Baker was on duty for Sunday's entries. One scratch and one jockey change completed the adjustments for the program.

FRIDAY
9/27/19¹

OFFICE VISITS

CHRB Chief of Licensing and Enforcement Shawn Loehr and off duty Safety Steward Paul Atkinson met with the Stewards to discuss matters of concern. Questions were asked and answered. This BOS is appreciative of the channels of communication with the CHRB enforcement.

Newly hired Oaklawn Racing Coordinator Rick Hammerle and VP of Racing at Oaklawn Park Jed Doro joined the Stewards to discuss general racing industry news across the country. They were visiting So Cal to promote racing in Arkansas.

VP/Business Coordinator & Director of Broadcasting Amy Zimmerman and NBC Producer Lindsay Schanzer, NBC Director Tim Nelson and NBC Associate Director Erin Bollendorfer met with the Stewards to discuss the NBC program for Saturday. All procedures implemented were discussed.

9/27/19²

INQUIRY / ACCIDENT / NO CHANGE 1 1/16 -dirt

Post-race the inquiry sign was lit to review the start. Involved was #6 LEUCOTHEA (N. Arroyo Jr. DNF) who ducked out leaving the starting gate and unseated the jockey. The horse then raced along the outside fence, just past the winner's circle, collided with a photographer and was later captured by the outrider. There were no other factors involved in the original incident and the race was made official.

9/27/19³

DECLARATIONS, CHANGES, AND REFUNDS

1st race	No Changes; Refund \$78.00
2nd race	No Changes; Refund \$467.10
3rd race	No Changes; Refund \$15.50
4th race	#5 SUNRISE ROYALE (Tr. E. Kruljac) Vet-sick-Dr. Stead. Refund \$327.90
5th race	No Changes; Refund \$0; Minus Pool \$18,822.21
6th race	No Changes; Refund \$0
7th race	No Changes; Refund \$0
8th race	#9 Collusion Illusion (Tr. M. Glatt) DNF. Refund \$0
9th race	No Changes; Refund \$0

9/27/19⁴

DAILY ATTENDANCE & HANDLE

On track	5,188	\$923,575.15
SCOTWinc	4,032	\$1,408,203.51
Out of state + ADW	N/A	\$7,421,340.38
Total	9,220	\$9,753,119.04

SATURDAY
9/28/19¹

CUMUNEL HEARING CASE #SA0196

The CHRB filed a complaint against exercise rider KEVIN CUMUNEL involving a possible violation of CHRB rules #1902.5 (Animal Welfare) and #1874 (Disorderly Conduct). Attending the informal hearing before the BOS were Investigator Mike Barker, respondent Kevin Cumunel and his friend Heros Varlanyan sat in as an observer. The informal hearing began with the respondent's argumentative demeanor about the complaint filed. As the allegations filed were discussed he lessened his attitude. Decision to follow.

9/28/19²

LEDEZMA RULING

After confirmation that MR.LEDEZMA had not complied with the Winners Foundation stipulations as described in DMTD ruling #072 issued on August 31. 2019 the following ruling was issued.

Groom PEDRO V. LEDEZMA is suspended for failure to adhere to the conditions of probation set forth in ruling DMTD #072 issued on August 31, 2019, at Del Mar Thoroughbred Club.

During the term of suspension, all licenses and license privileges of PEDRO V. LEDEZMA are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend or Fine), is denied access to all premises in this jurisdiction.

9/28/19³

GUTIERREZ RULING

VAN DYKE RULING

After notification from Sacramento headquarters that attorney Bing Bush verified both Mario Gutierrez and Drayden Van Dyke requested their riding suspension appeals be dropped, the following two rulings were issued.

LATC RULING #002

Having received notice from the California Horse Racing Board that the appeal of DMTD ruling #24 (August 2, 2019) has been withdrawn, the original ruling is reinstated. Jockey MARIO GUTIERREZ is suspended for THREE (3) racing days (October 4, 5 and 6, 2019).

Pursuant to California Horse Racing Board rule #1766 (Designated Races) the term of suspension shall not prohibit participation in designated races.

LATC RULING #003

Having received notice from the California Horse Racing Board that the appeal of DMTD ruling #35 (August 10, 2019) has been withdrawn, the original ruling is reinstated. Jockey DRAYDEN VAN DYKE is suspended for THREE (3) racing days (October 19, 20 and 24, 2019).

Pursuant to California Horse Racing Board rule #1766 (Designated Races) the term of suspension shall not prohibit participation in designated races.

9/28/19⁴

INQUIRY/DISQUALIFICATION/THIRD RACE 1 mile-turf

The inquiry sign was posted to review two different incidents. The first involved #10 (ONE FAST BRO) (M. Gutierrez 5th) who approaching the quarter pole ran up on a three horse spread and clipped the heels of the horse in front of him causing his own problems. The second incident involved along with #10 was #8 PHAST PHAROAH (A. Espinoza 9th). Approaching the eighth pole the jockey of #10 guided his horse out into #8 knocking that one off of its path. After a review of the race, conversation with the Patrol Judge and jockey Mario Gutierrez in a unanimous decision #10 was disqualified from fifth and placed ninth behind #8.

ORIGINAL ORDER OF FINISH 6-7-3-4-(10)-1-5-9-8-2(DNF)

OFFICIAL ORDER OF FINISH 6-7-3-4-1-5-9-8-(10)-2(DNF)

9/28/19⁵

INQUIRY/ACCIDENT/EIGHTH RACE 3/4-dirt

Following the running of the race the inquiry sign was posted to review an incident that occurred near the eighth pole. Involved was #4 EMTECH (M. Gutierrez DNF) who fell and unseated the jockey. No other horses were involved and the race was made official.

Mr. Gutierrez was cleared to ride the eleventh race and later removed himself from that mount.

9/28/19⁶

DECLARATIONS, CHANGES AND REFUNDS

1st race	#6 CURRY (Tr. B. Headley) Veterinarian-injured-Dr. Grande. Refund \$627.60
2nd race	No Changes; Refund \$0
3rd race	#2 BAMBOOZLER (Tr. T. Yakteen) DNF. Refund \$0
4th race	No Changes; Refund \$0; Minus Pool \$155.27
5th race	No Changes; Refund \$0
6th race	No Changes; Refund \$57.50
7th race	No Changes; Refund \$126.00
8th race	#3 CRUEL (Tr. B. Baffert) Veterinarian-sick-Dr. Grande #4 EMTECH (Tr. S. Knapp) DNF #5 RICKEY B (Tr. M. Rheinford) Veterinarian. Refund \$1,853.85
9th race	No Changes; Refund \$42.00
10th race	No Changes; Refund \$15.00; Minus Pool \$36,413.87
11th race	#3 DON'T SELL (Tr. D. O'Neill) E. Roman replaced M. Gutierrez (injured) Refund \$284.50

9/28/19⁷

DAILY ATTENDANCE & HANDLE

On track	11,997	\$2,585,574.71
SCOTWinc	5,395	\$4,342,810.37
Out of state + ADW	N/A	\$16,230,992.79
Total	17,392	\$23,159,377.87

SUNDAY

9/29/19¹

JOCKEY FILM REVIEW

MARIO GUTIERREZ met with the Stewards to review the third race yesterday that involved an inquiry and a disqualification (ref 09-28-19⁴). After a review of the race, it was the opinion of the BOS Mr. Gutierrez guided his horse out at least four paths into a horse to his outside. This contact turned the offended horse sideways. In a unanimous decision Mr. Gutierrez received a suspension for a careless ride in the following ruling.

LATC RULING #005

Jockey MARIO GUTIERREZ, who rode ONE FAST BRO in the third race at Santa Anita Park on September 28, 2019, is suspended for four (4) racing days (October 13, 14, 18 & 19, 2019), for altering course without sufficient clearance in the stretch and causing interference resulting in a disqualification from fifth to ninth.

This constitutes a violation of California Horse Racing Board rule #1699 (Riding Rules – Careless Riding – second offense in the last 60 days).

Pursuant to California Horse Racing Board rule #1766 (Designated Races) the term of suspension shall not prohibit participation in designated races.

9/29/19²

CUMUNEL RULING ref 09-28-19¹

The following ruling was issued after MR. CUMUNEL was informed of his penalty. He was held accountable for rough handling of a morning trainee.

LATC RULING #004

Exercise Rider KEVIN CUMUNEL, is fined THREE HUNDRED DOLLARS (\$300.00)* for violation of California Horse Racing Board rule #1874 (Disorderly Conduct – detrimental behavior during training) on June 9, 2019 at Santa Anita Park.

9/29/19³

CHAVEZ RULING

The following ruling was issued after an informal hearing, for which MR. CHAVEZ was found culpable of rough handling of an alleged hard to control horse during the morning training hours.

LATC RULING #006

Exercise Rider WILIAN CHAVEZ, is fined THREE HUNDRED DOLLARS (\$300.00)* for violation of California Horse Racing Board rule #1874 (Disorderly Conduct – detrimental behavior during training) on September 27, 2019 at Santa Anita Park.

9/29/19⁴

HERRARTE RULING

The following ruling was issued after an informal hearing pertaining to a CHRB complaint filed on December 27, 2014 against groom EDGAR HERRARTE for an alleged a violation of rule 1874 (Disorderly Conduct). The issue filed in the complaint was discussed and he was informed of the stipulations required to make him eligible to be relicensed as stated in the following ruling.

LATC RULING #007

Groom EDGAR HERRARTE, having now appeared to answer to CASE #15SA003 is restored to good standing. LATS Ruling #15 which was issued at Santa Anita Park on January 10, 2015 is set aside. EDGAR HERRARTE is fined TWO HUNDRED DOLLARS (\$200.00)* for violation of California Horse Racing Board Rule #1874 (Disorderly Conduct – Fighting), on December 3, 2014, at Fairplex Fairgrounds (Pomona).

Additionally, EDGAR HERRARTE must be evaluated by Winners Foundation for anger management / alcohol issues and sign a testing agreement with the California Horse Racing Board.

9/29/19⁵

GOOD RACING

The eight race card was performed without noteworthy incident. Any changes made are listed below.

9/29/19⁶

DECLARATIONS, CHANGES, AND REFUNDS

1st race No Changes; Refund \$0
 2nd race No Changes; Refund \$0
 3rd race No Changes; Refund \$0; Minus Pool \$936.85
 4th race No Changes; Refund \$29.40
 5th race No Changes; Refund \$106.50; Minus Pool \$827.41
 6th race NO SHOW WAGERING
 #1 TIGER SILK (AUS) (Tr. R. Mandella) Vet. - injured-Dr. Stead
 #4 DICHOTOMY (Tr. D. O'Neill) Vet. -unsound-Dr. Grande. Refund \$966.50
 7th race No Changes; Refund \$54.40
 8th race No Changes; Refund \$938.19

9/29/19⁷

DAILY ATTENDANCE & HANDLE

On track	6,436	\$1,018,115.00
SCOTWinc	4,878	\$1,558,473.00
Out of state + ADW	N/A	\$2,786,996.00
Total	11,314	\$5,363,584.00

VETERINARIAN REPORT – WEEK OF 09/23/19 TO 09/29/19

Horses on Vet List	9	Horses off Vet List	21
Shock Wave Therapy	13	Fatalities	1
Medication	0		

SUMMARY

	Fri 9/27	Sat 9/28	Sun 9/29	Week	Meet
Accidents	1	1	0	2	2
Cal-Bred Races	0	1	0	1	1
Claims	0	0	0	0	0
Disqualifications	0	1	0	1	1
Inquiries	1	1	0	2	2
Refunds	4	7	5	16	16
Rulings	0	3	4	7	7
Voided Claims	0	0	0	0	0

Respectfully submitted,

Kim Sawyer, Steward

Luis Jauregui, Steward

Grant W. Baker, Steward

Ron Church, Safety Steward